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1 Relativity exercises.

Do exercises a, e, and h in the handout by Professor Michael Dine at UC Santa Cruz on relativity at http://scipp.ucsc.edu/ dine/ph217/217relativity.pdf.

A) For a Lorentz transformation along the z axis, determine the components of Λ . Note that you can write this nicely by taking:

$$t' = \cosh(\omega)t + \sinh(\omega)z \qquad z' = \sinh(\omega)t - \cosh(\omega)z . \tag{1}$$

A Lorentz transformation along the z axis leaves x and y unchanged, so

$$x^{\mu} ' = \Lambda^{\mu}_{\nu} x^{\nu}$$
 with $x^{1} ' = x^{1}, x^{2} ' = x^{2},$ (2)

implying

$$\Lambda_1^i = \Lambda_i^1 = \delta_{i1} \quad \text{and} \quad \Lambda_2^i = \Lambda_i^2 = \delta_{i2}, \tag{3}$$

where δ_{i2} is the Kronecker delta. Performing the transformation results in two nontrivial equations:

$$x^0 ' = \Lambda_0^0 x^0 + \Lambda_3^0 x^3 \quad \Rightarrow \quad t' = \Lambda_0^0 t + \Lambda_3^0 z \tag{4}$$

$$x^{3}' = \Lambda_0^3 x^0 + \Lambda_3^3 x^3 \quad \Rightarrow \quad z' = \Lambda_0^3 t + \Lambda_3^3 z .$$

$$\tag{5}$$

Furthermore, we know the transformation must leave the quantity $t^2 - z^2$ invariant:

$$t^{2} - z^{2} = (t')^{2} - (z')^{2}$$
(6)

$$= \left[(\Lambda_0^0)^2 - (\Lambda_0^3)^2 \right] t^2 + \left[(\Lambda_3^0)^2 - (\Lambda_3^3)^2 \right] z^2 + 2 \left[(\Lambda_0^0) (\Lambda_3^0) - (\Lambda_3^3) (\Lambda_0^3) \right] tz , \qquad (7)$$

yielding

$$1 = (\Lambda_0^0)^2 - (\Lambda_0^3)^2 \tag{8}$$

$$1 = (\Lambda_3^3)^2 - (\Lambda_3^0)^2 \tag{9}$$

$$(\Lambda_0^0)(\Lambda_3^0) = (\Lambda_3^3)(\Lambda_0^3) , \qquad (10)$$

which are reminiscent of hyperbolic trig functions. We see the above relations are satisfied if we set $\Lambda_0^0 = \Lambda_3^3 = \cosh(\omega)$ and $\Lambda_3^0 = \Lambda_0^3 = -\sinh(\omega)$. Therefore a Lorentz transformation along the z axis can be represented as

$$\Lambda^{\mu}_{\nu} = \begin{pmatrix} \cosh \omega & 0 & 0 & -\sinh \omega \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -\sinh \omega & 0 & 0 & \cosh \omega \end{pmatrix} , \qquad (11)$$

where ω is a real constant.

B) Evaluate s in the lab frame for an electron-proton collision.

The total center of mass energy is given by

$$s = (p_1 + p_2)^2 = (E_1 + E_2)^2 - (\mathbf{p}_1 + \mathbf{p}_2) \cdot (\mathbf{p}_1 + \mathbf{p}_2) , \qquad (12)$$

where

$$E_1 = \sqrt{|\mathbf{p}|_1^2 + m_e^2}$$
 and $E_2 = \sqrt{|\mathbf{p}|_2^2 + m_p^2}$. (13)

In the lab frame, we will assume the proton is at rest so $\mathbf{p}_2 = 0$, so

$$s = E_1^2 + m_p^2 + 2m_p E_1 - |\mathbf{p}_1|^2 = m_p^2 + m_e^2 + 2m_p E_1 .$$
(14)

If we make the approximation $m_p \gg m_e$, this becomes

$$s = m_p^2 + 2m_p E_1 . (15)$$

C) $F_{\mu\nu}$, being a tensor, transforms like the product $x_{\mu}x_{\nu}$ under Lorentz transformations. Work out the transformation of **E** and **B** under Lorentz transformations along the z axis using this fact.

A second rank tensor transforms under a Lorentz boost as

$$F'_{\mu\nu} = \Lambda^{\alpha}_{\mu}\Lambda^{\beta}_{\nu}F_{\alpha\beta} \ . \tag{16}$$

The field strength tensor has components

$$F_{\mu\nu} = \begin{pmatrix} 0 & E_x & E_y & E_z \\ -E_x & 0 & -B_z & B_y \\ -E_y & B_z & 0 & -B_x \\ -E_z & -B_y & B_x & 0 \end{pmatrix} .$$
(17)

Using the matrix representation of Λ^{ν}_{μ} for a boost along the z axis found previously, we can carry out the first transformation:

$$\Lambda^{\beta}_{\nu}F_{\alpha\beta} = \begin{pmatrix} \cosh\omega & 0 & 0 & -\sinh\omega \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -\sinh\omega & 0 & 0 & \cosh\omega \end{pmatrix} \begin{pmatrix} 0 & E_x & E_y & E_z \\ -E_x & 0 & -B_z & B_y \\ -E_y & B_z & 0 & -B_x \\ -E_z & -B_y & B_x & 0 \end{pmatrix}$$
(18)
$$\begin{pmatrix} E_z \mathcal{S}(\omega) & E_x \mathcal{C}(\omega) + B_y \mathcal{S}(\omega) & E_y \mathcal{C}(\omega) - B_x \mathcal{S}(\omega) & E_z \mathcal{C}(\omega) \\ -E_x & 0 & -B_x & B_y \end{pmatrix}$$

$$= \begin{pmatrix} -E_x & 0 & -B_z & B_y \\ -E_y & B_z & 0 & -B_x \\ -E_z \mathcal{C}(\omega) & -B_y \mathcal{C}(\omega) - E_x \mathcal{S}(\omega) & B_x \mathcal{C}(\omega) - E_y \mathcal{S}(\omega) & -E_z \mathcal{S}(\omega) \end{pmatrix}, \quad (19)$$

where $\mathcal{S}(\omega) = \sinh(\omega)$ and $\mathcal{C}(\omega) = \cosh(\omega)$. Performing the second transformation, we obtain

$$F'_{\mu\nu} = \begin{pmatrix} E_z \mathcal{S}(2\omega) & E_x \mathcal{C}(2\omega) + B_y \mathcal{S}(2\omega) & E_y \mathcal{C}(2\omega) - B_x \mathcal{S}(2\omega) & E_z \mathcal{C}(2\omega) \\ -E_x & 0 & -B_z & B_y \\ -E_y & B_z & 0 & -B_x \\ -E_z \mathcal{C}(2\omega) & -B_y \mathcal{C}(2\omega) - E_x \mathcal{S}(2\omega) & B_x \mathcal{C}(2\omega) - E_y \mathcal{S}(2\omega) & -2E_z \mathcal{C}(\omega) \mathcal{S}(\omega) \end{pmatrix}.$$

2 Two-dimensional quantum harmonic oscillator.

We would like to consider a two-dimensional quantum harmonic oscillator with the following Hamiltonian in Cartesian coordinate:

$$H = \frac{1}{2} \left(p_1^2 + p_2^2 \right) + \frac{1}{2} \left(x_1^2 + x_2^2 \right) , \qquad (20)$$

where I have set $m = \omega = 1$ for simplicity. The operators satisfy $[x_i, p_j] = +i\delta_{ij}$.

A) Write down the Hamiltonian in terms of creation and annihilation operators $\{a_x^{\dagger}, a_y^{\dagger}, a_x, a_y\}$, which satisfy the commutation relation $[a_i, a_j^{\dagger}] = \delta_{ij}$. (All other commutators are zero.)

The creation and annihilation operators are defined as

$$a_i = \frac{1}{\sqrt{2}} \left(x_i + ip_i \right) \tag{21}$$

$$a_i^{\dagger} = \frac{1}{\sqrt{2}} \left(x_i - i p_i \right)$$
, (22)

such that they satisfy the commutation relation¹. Their products (after moving the radical over) are

$$2a_i^{\dagger}a_i = (x_i - ip_i)(x_i + ip_i) = x_i^2 - (ip_i)^2 - ip_ix_i + ix_ip_i = x_i^2 + p_i^2 + i(x_ip_i - p_ix_i)$$
(26)

$$2a_i a_i^{\dagger} = (x_i + ip_i)(x_i - ip_i) = x_i^2 - (ip_i)^2 + ip_i x_i - ix_i p_i = x_i^2 + p_i^2 - i(x_i p_i - p_i x_i) .$$
(27)

We can identify the last term as the commutator $[x_i, p_i] = -i$, and so $2a_i^{\dagger}a_i = x_i^2 + p_i^2 + 1$, and $2a_ia_i^{\dagger} = x_i^2 + p_i^2 - 1$. If we sum, we find:

$$2a_i^{\dagger}a_i + 2a_ia_i^{\dagger} = 2x_i^2 + 2p_i^2 + 1 - 1 \quad \Rightarrow \quad a_i^{\dagger}a_i + a_ia_i^{\dagger} = x_i^2 + p_i^2 , \qquad (28)$$

but since $1 = [a_i, a_i^{\dagger}] = a_i a_i^{\dagger} - a_i^{\dagger} a_i$, we have that $a_i a_i^{\dagger} = 1 + a_i^{\dagger} a_i$, so the above equation becomes

$$2a_i^{\dagger}a_i + 1 = x_i^2 + p_i^2 . (29)$$

We can rearrange the Hamiltonian, and insert the above result:

$$H = \frac{1}{2} \left[(x_1^2 + p_1^2) + (x_2^2 + p_2^2) \right] = \frac{1}{2} \left[(2a_1^{\dagger}a_1 + 1) + (2a_2^{\dagger}a_2 + 1) \right] = a_1^{\dagger}a_1 + a_2^{\dagger}a_2 + 1 , \quad (30)$$

yielding the Hamiltonian entirely in terms of the creation and annihilation operator for each dimension.

1

$$[a_i, a_i^{\dagger}] = a_i a_i^{\dagger} - a_i^{\dagger} a_i = \frac{1}{2} (x_i + ip_i)(x_i - ip_i) - \frac{1}{2} (x_i - ip_i)(x_i + ip_i)$$
(23)

$$= \frac{1}{2} [(x_i^2 + p_i^2 - ix_i p_i + ip_i x_i) - (x_i^2 + p_i^2 + ix_i p_i - ip_i x_i)] = \frac{1}{2} i(-x_i p_i + p_i x_i - x_i p_i + p_i x_i)$$
(24)

$$= i(p_i x_i - x_i p_i) = i[p_i, x_i] = i(-i) = 1 .$$
(25)

B) The Hamiltonian obviously has rotational invariance in the two-dimensional space: $U(R)x_iU(R)^{\dagger} = D(R)_{ij}x_j$, where D(R) is a 2×2 orthogonal matrix. A less obvious invariance is a complex rotation S in (a_1, a_2) ,

$$U(S)a_iU(S)^{\dagger} = D(S)_{ij}a_j, \tag{31}$$

where D(S) is a complex 2×2 matrix. Work out the condition on D(S) in order for the Hamiltonian to be invariant under $S : U(S)HU(S)^{\dagger} = H$. Show that different states related by an S transformation $|a\rangle = U(S) |b\rangle$ are degenerate in energy.

The Hamiltonian is invariant under S if

$$H = U(S)HU(S)^{\dagger} = U(S)a_{1}^{\dagger}a_{1}U(S)^{\dagger} + U(S)a_{2}^{\dagger}a_{2}U(S)^{\dagger} + U(S)1U(S)^{\dagger} .$$
(32)

The unitary operator U(S) has the property $U(S)U(S)^{\dagger} = U(S)^{\dagger}U(S) = 1$, so the identity can be inserted between the creation and annihilation operators for each dimension, so the rotated Hamiltonian is

$$U(S)HU(S)^{\dagger} = \left(U(S)a_1^{\dagger}U(S)^{\dagger}\right)\left(U(S)a_1U(S)^{\dagger}\right) + \left(U(S)a_2^{\dagger}U(S)^{\dagger}\right)\left(U(S)a_2U(S)^{\dagger}\right) + 1.$$

Assuming that the creation operator transforms similarly to the annihilation operator, this can be written

$$U(S)HU(S)^{\dagger} = \left(D(S)_{1j}a_{j}^{\dagger}\right)\left(D(S)_{1j}a_{j}\right) + \left(D(S)_{2j}a_{j}^{\dagger}\right)\left(D(S)_{2j}a_{j}\right) + 1 , \qquad (33)$$

explicitly writing out the implied sum, we have

$$U(S)HU(S)^{\dagger} = \left(D(S)_{11}a_{1}^{\dagger} + D(S)_{12}a_{2}^{\dagger}\right)\left(D(S)_{11}a_{1} + D(S)_{12}a_{2}\right)$$
(34)

+
$$\left(D(S)_{21}a_1^{\dagger} + D(S)_{22}a_2^{\dagger}\right)\left(D(S)_{21}a_1 + D(S)_{22}a_2\right) + 1$$
. (35)

Performing the multiplication and combining terms yields

$$U(S)HU(S)^{\dagger} = 1 + \left\{ D(S)_{11}^{2} + D(S)_{21}^{2} \right\} a_{1}^{\dagger}a_{1} + \left\{ D(S)_{12}^{2} + D(S)_{22}^{2} \right\} a_{2}^{\dagger}a_{2} + \left\{ D(S)_{11}D(S)_{12} + D(S)_{21}D(S)_{22} \right\} a_{1}^{\dagger}a_{2} + \left\{ D(s)_{12}D(S)_{11} + D(S)_{22}D(S)_{21} \right\} a_{2}^{\dagger}a_{1} .$$

We must enforce that the Hamiltonian is invariant under S, so we are left with the conditions

$$D(S)_{11}^2 + D(S)_{21}^2 = 1 D(S)_{12}^2 + D(S)_{22}^2 = 1 (36)$$

$$D(S)_{11}D(S)_{12} + D(S)_{21}D(S)_{22} = 0 D(S)_{12}D(S)_{11} + D(S)_{22}D(S)_{21} = 0. (37)$$

Consider the state $|b\rangle$ related to a state $|a\rangle$ by $|a\rangle = U(S) |b\rangle$, and thus

$$U(S)^{\dagger} |a\rangle = U(S)^{\dagger} U(S) |b\rangle = 1 |b\rangle = |b\rangle , \qquad (38)$$

additionally:

$$H = U(S)HU(S)^{\dagger} \tag{39}$$

$$U(S)^{\dagger}HU(S) = U(S)^{\dagger}U(S)HU(S)^{\dagger}U(S)$$

$$\tag{40}$$

$$U(S)^{\dagger}HU(S) = H . (41)$$

Now consider the action of the Hamiltonian on the state $|a\rangle$:

$$H\left|a\right\rangle = HU(S)\left|b\right\rangle \tag{42}$$

$$E_a |a\rangle = \left(U(S)U(S)^{\dagger} \right) HU(S) |b\rangle \tag{43}$$

$$E_a |a\rangle = U(S)H |b\rangle = E_b U(S) |b\rangle$$
(44)

$$E_a \left| a \right\rangle = E_b \left| a \right\rangle \ , \tag{45}$$

and as such $E_a = E_b$.

C) Define the one-particle states $\{|i\rangle = a_i^{\dagger} |0\rangle$, $i = 1, 2\}$. We discussed in class that any operator can be expressed in terms of creation and annihilation operators. Consider a set of operators $\{T^a, a = 1, 2, 3\}$ whose effects on the one-particle states are

$$T^{a} |0\rangle = 0 \qquad T^{a} |i\rangle = \frac{1}{2} |j\rangle [\sigma^{a}]_{ij}$$

$$\tag{46}$$

where σ^a 's are the Pauli matrices and $[\sigma^a]_{ij}$ are the matrix elements of Pauli matrices. Find the representation of T^a in terms of the creation and annihilation operators.

Considering the action of T^a on the vacuum, it can be expressed as

$$T^a |0\rangle = \Lambda^a a_i |0\rangle = \Lambda^a (0) = 0 , \qquad (47)$$

where Λ^a is an undetermined operator. Now consider its action on the state $|i\rangle$:

$$T^{a} |i\rangle = \Lambda^{a} a_{i} |i\rangle = \Lambda^{a} |0\rangle = \frac{1}{2} |j\rangle [\sigma^{a}]_{ij} , \qquad (48)$$

since the matrix elements $[\sigma^a]_{ij}$ are C-numbers, they can be moved around without consequence:

$$\Lambda^{a} \left| 0 \right\rangle = \frac{1}{2} \left[\sigma^{a} \right]_{ij} \left| j \right\rangle \ . \tag{49}$$

Let us now write $\Lambda^a = \Omega^a a_j^{\dagger}$, where Ω^a is another undetermined operator; its action on the vacuum is

$$\Lambda^{a} \left| 0 \right\rangle = \Omega^{a} a_{j}^{\dagger} \left| 0 \right\rangle = \Omega^{a} \left| j \right\rangle \ , \tag{50}$$

so now we can identify $\Omega^a = \frac{1}{2} [\sigma^a]_{ij}$, and see that

$$T^a = \frac{1}{2} \left[\sigma^a \right]_{ij} a^{\dagger}_j a_i .$$

$$\tag{51}$$

D) Use your result in (c) to compute the commutators $[T^a, a_i^{\dagger}]$.

The commutator is

$$[T^{a}, a_{i}^{\dagger}] = \frac{1}{2} [\sigma^{a}]_{ij} [a_{j}^{\dagger}a_{i}, a_{i}^{\dagger}] = \frac{1}{2} [\sigma^{a}]_{ij} \left\{ a_{j}^{\dagger}[a_{i}, a_{i}^{\dagger}] + [a_{j}^{\dagger}, a_{i}^{\dagger}]a_{i} \right\} , \qquad (52)$$

using the commutator relations: $[a_i, a_i^{\dagger}] = 1$ and $[a_j^{\dagger}, a_i^{\dagger}] = 0$, we have

$$[T^a, a_i^{\dagger}] = \frac{1}{2} \left[\sigma^a \right]_{ij} a_j^{\dagger} .$$
(53)

3 Spinless boson.

Consider a free spinless boson $\phi(x)$ with the following plane-wave expansion:

$$\phi(x) = \int \frac{\mathrm{d}^3 k}{(2\pi)^3 \sqrt{2\omega_k}} \left(a_k e^{-ik \cdot x} + a_k^{\dagger} e^{ik \cdot x} \right) \,. \tag{54}$$

Be aware that I am using the non-relativistically normalized creation and annihilation operators!

A) Suppose we treat $\phi(x)$ as a quantum field and canonically quantize it using the commutation relations:

$$[\phi(\mathbf{x},t),\phi(\mathbf{y},t)] = [\partial_t \phi(\mathbf{x},t),\partial_t \phi(\mathbf{y},t)] = 0, \quad [\phi(\mathbf{x},t),\partial_t \phi(\mathbf{y},t)] = i\delta^{(3)}(x-y). \tag{55}$$

Express a_k and a_k^{\dagger} in terms of $\phi(\mathbf{x}, 0)$ and $\partial_t \phi(\mathbf{x}, 0)$ and show they satisfy the commutation relations for creation and annihilation operators: $[a_k, a_{k'}] = [a_k^{\dagger}, a_{k'}^{\dagger}] = 0$ and $[a_k, a_{k'}^{\dagger}] = \delta^{(3)}(k - k')$.

First, we can find the time derivative of the free spinless boson field:

$$\partial_t \phi(\mathbf{x}, t) = \frac{\partial}{\partial t} \int \frac{\mathrm{d}^3 k}{(2\pi)^3 \sqrt{2\omega_k}} \left(a_k e^{-i\omega_k t} e^{i\mathbf{k}\cdot\mathbf{x}} + a_k^{\dagger} e^{i\omega_k t} e^{-i\mathbf{k}\cdot\mathbf{x}} \right)$$
(56)

$$= \int \frac{\mathrm{d}^3 k}{(2\pi)^3 \sqrt{2\omega_k}} \left((-i\omega_k) a_k e^{-ik \cdot x} + a_k^{\dagger} (i\omega_k) e^{ik \cdot x} \right)$$
(57)

$$= -i \int \frac{\mathrm{d}^3 k}{(2\pi)^3} \sqrt{\frac{\omega_k}{2}} \left(a_k e^{-ik \cdot x} - a_k^{\dagger} e^{ik \cdot x} \right) , \qquad (58)$$

where $\omega_k = k^0 = \sqrt{|\mathbf{k}|^2 + m^2}$. If we evaluate the field and its time derivative at t = 0, we are left with

$$\phi(\mathbf{x},0) = \int \frac{\mathrm{d}^3 k}{(2\pi)^3 \sqrt{2\omega_k}} \left(a_k e^{i\mathbf{k}\cdot\mathbf{x}} + a_k^{\dagger} e^{-i\mathbf{k}\cdot\mathbf{x}} \right)$$
(59)

$$\partial_t \phi(\mathbf{x}, 0) = -i \int \frac{\mathrm{d}^3 k}{(2\pi)^3} \sqrt{\frac{\omega_k}{2}} \left(a_k e^{i\mathbf{k}\cdot\mathbf{x}} - a_k^{\dagger} e^{-i\mathbf{k}\cdot\mathbf{x}} \right) , \qquad (60)$$

but if we make the transformation ${\bf k} \to - {\bf k}$ in the second terms, these can be expressed as

$$\phi(\mathbf{x},0) = \int \frac{\mathrm{d}^3 k}{(2\pi)^3 \sqrt{2\omega_k}} \left(a_k + a_{-k}^{\dagger}\right) e^{i\mathbf{k}\cdot\mathbf{x}}$$
(61)

$$\partial_t \phi(\mathbf{x}, 0) = -i \int \frac{\mathrm{d}^3 k}{(2\pi)^3} \sqrt{\frac{\omega_k}{2}} \left(a_k - a_{-k}^{\dagger} \right) e^{i\mathbf{k}\cdot\mathbf{x}} \,. \tag{62}$$

Now consider taking the inverse Fourier transform of the field:

$$\int d^3 x \phi(\mathbf{x}, 0) e^{-i\mathbf{p} \cdot \mathbf{x}} = \iint \frac{d^3 k d^3 x}{(2\pi)^3 \sqrt{2\omega_k}} \left(a_k + a_{-k}^{\dagger}\right) e^{i\mathbf{k} \cdot \mathbf{x}} e^{-i\mathbf{p} \cdot \mathbf{x}}$$
(63)

$$= \int \frac{\mathrm{d}^3 k}{(2\pi)^3 \sqrt{2\omega_k}} \left(a_k + a_{-k}^{\dagger} \right) \int \mathrm{d}^3 x e^{i(\mathbf{k} - \mathbf{p}) \cdot \mathbf{x}} , \qquad (64)$$

now using the identity

$$\int \mathrm{d}^3 x e^{i(\mathbf{k}-\mathbf{p})\cdot\mathbf{x}} = (2\pi)^3 \delta^{(3)}(\mathbf{k}-\mathbf{p}) \ , \tag{65}$$

the previous equation may be written as

$$\int \mathrm{d}^3 x \phi(\mathbf{x}, 0) e^{-i\mathbf{p}\cdot\mathbf{x}} = \int \frac{\mathrm{d}^3 k}{\sqrt{2\omega_k}} \left(a_k + a_{-k}^{\dagger}\right) \delta^{(3)}(\mathbf{k} - \mathbf{p}) = \frac{1}{\sqrt{2\omega_p}} \left(a_p + a_{-p}^{\dagger}\right) \ . \tag{66}$$

We can do this same process for the time derivative of the field:

$$\int \mathrm{d}^3 x \partial_t \phi(\mathbf{x}, 0) e^{-i\mathbf{p}\cdot\mathbf{x}} = -i \int \frac{\mathrm{d}^3 k}{(2\pi)^3} \sqrt{\frac{\omega_k}{2}} \left(a_k - a_{-k}^{\dagger}\right) \int \mathrm{d}^3 x e^{i(\mathbf{k}-\mathbf{p})\cdot\mathbf{x}}$$
(67)

$$= -i \int \mathrm{d}^{3}k \sqrt{\frac{\omega_{k}}{2}} \left(a_{k} - a_{-k}^{\dagger}\right) \delta^{(3)}(\mathbf{k} - \mathbf{p}) \tag{68}$$

$$= -i\sqrt{\frac{\omega_p}{2}} \left(a_p - a_{-p}^{\dagger}\right) \ . \tag{69}$$

Solving Equations 66 and 69 for a_p and a^{\dagger}_{-p} yields:

$$a_p = \frac{1}{2} \left(\sqrt{2\omega_p} \int \mathrm{d}^3 x \phi(\mathbf{x}, 0) e^{-i\mathbf{p}\cdot\mathbf{x}} + i\sqrt{\frac{2}{\omega_p}} \int \mathrm{d}^3 x \partial_t \phi(\mathbf{x}, 0) e^{-i\mathbf{p}\cdot\mathbf{x}} \right)$$
(70)

$$a_{-p}^{\dagger} = \frac{1}{2} \left(\sqrt{2\omega_p} \int \mathrm{d}^3 x \phi(\mathbf{x}, 0) e^{-i\mathbf{p}\cdot\mathbf{x}} - i\sqrt{\frac{2}{\omega_p}} \int \mathrm{d}^3 x \partial_t \phi(\mathbf{x}, 0) e^{-i\mathbf{p}\cdot\mathbf{x}} \right) . \tag{71}$$

Switching from $\mathbf{p} \to \mathbf{k}$ fo a_p and $-\mathbf{p} \to \mathbf{k}$ in a^{\dagger}_{-p} yields the result:

$$a_k = \frac{1}{2} \left(\sqrt{2\omega_k} \int \mathrm{d}^3 x \phi(\mathbf{x}, 0) e^{-i\mathbf{k}\cdot\mathbf{x}} + i\sqrt{\frac{2}{\omega_k}} \int \mathrm{d}^3 x \partial_t \phi(\mathbf{x}, 0) e^{-i\mathbf{k}\cdot\mathbf{x}} \right)$$
(72)

$$a_k^{\dagger} = \frac{1}{2} \left(\sqrt{2\omega_k} \int \mathrm{d}^3 x \phi(\mathbf{x}, 0) e^{i\mathbf{k}\cdot\mathbf{x}} - i\sqrt{\frac{2}{\omega_k}} \int \mathrm{d}^3 x \partial_t \phi(\mathbf{x}, 0) e^{i\mathbf{k}\cdot\mathbf{x}} \right) \ . \tag{73}$$

Let us define the operators:

$$\bar{\phi}(\mathbf{p}) = \int \mathrm{d}^3 x \phi(\mathbf{x}, 0) e^{-i\mathbf{p}\cdot\mathbf{x}}$$
(74)

$$\bar{\pi}(\mathbf{p}) = \int \mathrm{d}^3 x \partial_t \phi(\mathbf{x}, 0) e^{-i\mathbf{p} \cdot \mathbf{x}} , \qquad (75)$$

and thus

$$a_k = \frac{1}{2} \left(\sqrt{2\omega_k} \bar{\phi}(\mathbf{k}) + i \sqrt{\frac{2}{\omega_k}} \bar{\pi}(\mathbf{k}) \right) \tag{76}$$

$$a_k^{\dagger} = \frac{1}{2} \left(\sqrt{2\omega_k} \bar{\phi}(-\mathbf{k}) - i \sqrt{\frac{2}{\omega_k}} \bar{\pi}(-\mathbf{k}) \right) . \tag{77}$$

The canonical commutation relation

$$[\phi(\mathbf{x},0),\partial_t\phi(\mathbf{y},0)] = i\delta^{(3)}(\mathbf{x}-\mathbf{y})$$
(78)

multiplited by factors of $e^{-i(\mathbf{p}\cdot\mathbf{x})}$ and $e^{-i(\mathbf{p}\cdot\mathbf{y})}$ and integrated over \mathbf{x} and \mathbf{y} is

$$\iint d^{3}\mathbf{x} d^{3}\mathbf{y} e^{-i(\mathbf{p}\cdot\mathbf{x})} e^{-i(\mathbf{p}\cdot\mathbf{y})} [\phi(\mathbf{x},0), \partial_{t}\phi(\mathbf{y},0)]$$
$$= \iint d^{3}\mathbf{x} d^{3}\mathbf{y} e^{-i(\mathbf{p}\cdot\mathbf{x})} e^{-i(\mathbf{p}\cdot\mathbf{y})} i\delta^{(3)}(\mathbf{x}-\mathbf{y}).$$
(79)

The right-hand side of the above equation is

$$i \iint d^3 \mathbf{x} d^3 \mathbf{y} e^{-i(\mathbf{p} \cdot \mathbf{x} + \mathbf{p}' \cdot \mathbf{y})} \delta^{(3)}(\mathbf{x} - \mathbf{y}) , \qquad (80)$$

and performing the integration over ${\bf y}$ yields

$$i \int d^3 \mathbf{x} e^{-i(\mathbf{p}+\mathbf{p}')\cdot\mathbf{x}} = i(2\pi)^3 \delta^{(3)}(\mathbf{p}-\mathbf{p}') \;.$$
 (81)

The left-hand side of Equation 79 is

$$\iint d^{3}\mathbf{x} d^{3}\mathbf{y} \left\{ (\phi(\mathbf{x},0))e^{-i(\mathbf{p}\cdot\mathbf{x})}(\partial_{t}\phi(\mathbf{y},0))e^{-i(\mathbf{p}'\cdot\mathbf{y})} - (\partial_{t}\phi(\mathbf{y},0))e^{-i(\mathbf{p}'\cdot\mathbf{y})}(\phi(\mathbf{x},0))e^{-i(\mathbf{p}\cdot\mathbf{x})} \right\}$$
(82)

$$=\bar{\phi}(\mathbf{p})\bar{\pi}(\mathbf{p}')-\bar{\pi}(\mathbf{p}')\bar{\phi}(\mathbf{p})=[\bar{\phi}(\mathbf{p}),\bar{\pi}(\mathbf{p}')]$$
(83)

and so the canonical commutation relation for $\bar{\phi}$ and $\bar{\pi}$ is

$$[\bar{\phi}(\mathbf{p}), \bar{\pi}(\mathbf{p}')] = i(2\pi)^3 \delta^{(3)}(\mathbf{p} + \mathbf{p}') .$$
(84)

The same process can be done for the commutators $[\bar{\phi}(\mathbf{p}), \bar{\phi}(\mathbf{p}')]$ and $[\bar{\pi}(\mathbf{p}), \bar{\pi}(\mathbf{p}')]$, where the same integration is performed, but the right-hand side is zero:

$$[\bar{\phi}(\mathbf{p}), \bar{\phi}(\mathbf{p}')] = [\bar{\pi}(\mathbf{p}), \bar{\pi}(\mathbf{p}')] = 0 .$$
(85)

We are now ready to find the commutators of the creation and annihilation $operators^2$:

$$[a_k, a_{k'}^{\dagger}] = \left[\frac{1}{2}\left(\sqrt{2\omega_k}\bar{\phi}(\mathbf{k}) + i\sqrt{\frac{2}{\omega_k}}\bar{\pi}(\mathbf{k})\right), \frac{1}{2}\left(\sqrt{2\omega_{k'}}\bar{\phi}(-\mathbf{k'}) - i\sqrt{\frac{2}{\omega_{k'}}}\bar{\pi}(-\mathbf{k'})\right)\right]$$
(86)

$$=\frac{1}{4}\left\{\left[\sqrt{2\omega_{k}}\bar{\phi}(\mathbf{k}),-i\sqrt{\frac{2}{\omega_{k'}}\bar{\pi}}(-\mathbf{k}')\right]+\left[i\sqrt{\frac{2}{\omega_{k}}\bar{\pi}}(\mathbf{k}),\sqrt{2\omega_{k'}}\bar{\phi}(-\mathbf{k}')\right]\right\}$$
(87)

$$= \frac{1}{4}i\left\{2\left[\bar{\phi}(\mathbf{k}), -\bar{\pi}(-\mathbf{k}')\right] + 2\left[\bar{\pi}(\mathbf{k}), \bar{\phi}(-\mathbf{k}')\right]\right\}$$
(88)

$$= i\frac{1}{2}\left\{\left[\bar{\phi}(\mathbf{k}), -\bar{\pi}(-\mathbf{k}')\right] + \left[\bar{\pi}(\mathbf{k}), \bar{\phi}(-\mathbf{k}')\right]\right\} = \frac{1}{2}i\left\{2\left[\bar{\pi}(\mathbf{k}), \bar{\phi}(-\mathbf{k}')\right]\right\}$$
(89)

$$= i(i(2\pi)^{3}\delta^{(3)}(\mathbf{k} + (-\mathbf{k}'))) = -(2\pi)^{3}\delta^{(3)}(\mathbf{k} - \mathbf{k}')) , \qquad (90)$$

as expected. Similarly, we can find the commutator

$$[a_k, a_{k'}] = \left[\frac{1}{2}\left(\sqrt{2\omega_k}\bar{\phi}(\mathbf{k}) + i\sqrt{\frac{2}{\omega_k}}\bar{\pi}(\mathbf{k})\right), \frac{1}{2}\left(\sqrt{2\omega_{k'}}\bar{\phi}(-\mathbf{k'}) + i\sqrt{\frac{2}{\omega_{k'}}}\bar{\pi}(-\mathbf{k'})\right)\right]$$
(91)

$$= \frac{1}{4}i\left\{2\left[\bar{\phi}(\mathbf{k}), \bar{\pi}(-\mathbf{k}')\right] + 2\left[\bar{\pi}(\mathbf{k}), \bar{\phi}(-\mathbf{k}')\right]\right\}$$
(92)

$$= \frac{1}{2}i\left\{\left[\bar{\phi}(\mathbf{k}), \bar{\pi}(-\mathbf{k}')\right] - \left[\bar{\phi}(-\mathbf{k}'), \bar{\pi}(\mathbf{k})\right]\right\} = 0 , \qquad (93)$$

²Using the fact that [A+B, C+D] = [A, C] + [A, D] + [B, C] + [B, D] with $A \sim \bar{\phi}(\mathbf{k}), \ B \sim \bar{\pi}(\mathbf{k}), \ C \sim \bar{\phi}(-\mathbf{k}'), \ D \sim \bar{\pi}(-\mathbf{k}')$. So that [A, C] = [B, D] = 0.

and the commutator

$$[a_k^{\dagger}, a_{k'}^{\dagger}] = \left[\frac{1}{2}\left(\sqrt{2\omega_k}\bar{\phi}(\mathbf{k}) - i\sqrt{\frac{2}{\omega_k}}\bar{\pi}(\mathbf{k})\right), \frac{1}{2}\left(\sqrt{2\omega_{k'}}\bar{\phi}(-\mathbf{k'}) - i\sqrt{\frac{2}{\omega_{k'}}}\bar{\pi}(-\mathbf{k'})\right)\right]$$
(94)

$$= \frac{1}{4}i\left\{-2\left[\bar{\phi}(\mathbf{k}), \bar{\pi}(-\mathbf{k}')\right] - 2\left[\bar{\pi}(\mathbf{k}), \bar{\phi}(-\mathbf{k}')\right]\right\}$$
(95)

$$= -\frac{1}{2}i\left\{\left[\bar{\phi}(\mathbf{k}), \bar{\pi}(-\mathbf{k}')\right] - \left[\bar{\phi}(-\mathbf{k}'), \bar{\pi}(\mathbf{k})\right]\right\} = 0.$$
(96)

B) Alternatively, treat $\phi(x)$ as the simplest quantum field constructed out of the creation and annihilation operators a_k and a_k^{\dagger} and show that $\phi(x)$ and $\partial_t \phi(x)$ satisfy the correct commutation relations as required by the canonical quantization.

We are interested in showing

$$[\phi(\mathbf{x},0),\phi(\mathbf{y},0)] = [\partial_t \phi(\mathbf{x},0),\partial_t \phi(\mathbf{y},0)] = 0, \quad [\phi(\mathbf{x},0),\partial_t \phi(\mathbf{y},0)] = i\delta^{(3)}(x-y), \tag{97}$$

where

$$\pi(\mathbf{x}) \equiv \partial_t \phi(\mathbf{x}, 0) = -i \int \frac{\mathrm{d}^3 k}{(2\pi)^3} \sqrt{\frac{\omega_k}{2}} \left(a_k e^{i\mathbf{k}\cdot\mathbf{x}} - a_k^{\dagger} e^{-i\mathbf{k}\cdot\mathbf{x}} \right) \ . \tag{98}$$

The first commutator is given by

$$\left[\phi(\mathbf{x}),\phi(\mathbf{y})\right] = \int \frac{\mathrm{d}^3 p}{(2\pi)^3} \int \frac{\mathrm{d}^3 q}{(2\pi)^3} \frac{1}{\sqrt{2\omega_p 2\omega_q}} \left[\left(a_p e^{i\mathbf{p}\cdot\mathbf{x}} + a_p^{\dagger} e^{-i\mathbf{p}\cdot\mathbf{x}} \right), \left(a_q e^{i\mathbf{q}\cdot\mathbf{y}} + a_q^{\dagger} e^{-i\mathbf{q}\cdot\mathbf{y}} \right) \right]$$
(99)

and using the identity in footnote 2, and the fact that $[a_p, a_q] = [a_p^{\dagger}, a_q^{\dagger}] = 0$, this becomes

$$\left[\phi(\mathbf{x}),\phi(\mathbf{y})\right] = \int \frac{\mathrm{d}^3 p}{(2\pi)^3} \int \frac{\mathrm{d}^3 q}{(2\pi)^3} \frac{1}{\sqrt{2\omega_p 2\omega_q}} \left(e^{i\mathbf{p}\cdot\mathbf{x}} e^{-i\mathbf{q}\cdot\mathbf{y}}[a_p,a_q^{\dagger}] + e^{-i\mathbf{p}\cdot\mathbf{x}} e^{i\mathbf{q}\cdot\mathbf{y}}[a_p^{\dagger},a_q]\right) \quad (100)$$

We can now use the commutator $[a_k, a_{k'}^{\dagger}] = \delta^{(3)}(k - k') = -[a_k^{\dagger}, a_{k'}]$, making the commutator above

$$[\phi(\mathbf{x}),\phi(\mathbf{y})] = \int \frac{\mathrm{d}^3 p}{(2\pi)^3} \int \frac{\mathrm{d}^3 q}{(2\pi)^3} \frac{1}{\sqrt{2\omega_p 2\omega_q}} \left(e^{i\mathbf{p}\cdot\mathbf{x}}e^{-i\mathbf{q}\cdot\mathbf{y}} - e^{-i\mathbf{p}\cdot\mathbf{x}}e^{i\mathbf{q}\cdot\mathbf{y}}\right) (2\pi)^3 \delta^{(3)}(\mathbf{p}-\mathbf{q}) \ .$$

If we carry out the integration over q, this becomes

$$[\phi(\mathbf{x}), \phi(\mathbf{y})] = \int \frac{\mathrm{d}^3 p}{(2\pi)^3} \frac{1}{2\omega_p} \left(e^{i\mathbf{p}\cdot(\mathbf{x}-\mathbf{y})} - e^{-i\mathbf{p}\cdot(\mathbf{x}-\mathbf{y})} \right)$$
(101)

$$= \int \frac{\mathrm{d}^3 p}{(2\pi)^3} \frac{1}{2\omega_p} e^{i\mathbf{p}\cdot(\mathbf{x}-\mathbf{y})} - \int \frac{\mathrm{d}^3 p}{(2\pi)^3} \frac{1}{2\omega_p} e^{-i\mathbf{p}\cdot(\mathbf{x}-\mathbf{y})} , \qquad (102)$$

since $\omega_p = \sqrt{|\mathbf{p}|^2 + m^2}$ and is symmetric under $\mathbf{p} \to -\mathbf{p}$, and since we are integrating each component of momentum from $-\infty$ to ∞ , the entire integrand is symmetric under $\mathbf{p} \to -\mathbf{p}$, so

$$[\phi(\mathbf{x}), \phi(\mathbf{y})] = \int \frac{\mathrm{d}^3 p}{(2\pi)^3} \frac{1}{2\omega_p} e^{i\mathbf{p}\cdot(\mathbf{x}-\mathbf{y})} - \int \frac{\mathrm{d}^3 p}{(2\pi)^3} \frac{1}{2\omega_p} e^{i\mathbf{p}\cdot(\mathbf{x}-\mathbf{y})} = 0 \ . \tag{103}$$

We can now do the same for the second commutator:

$$[\pi(\mathbf{x}), \pi(\mathbf{y})] = -\int \frac{\mathrm{d}^3 p}{(2\pi)^3} \int \frac{\mathrm{d}^3 q}{(2\pi)^3} \sqrt{\frac{\omega_p \omega_q}{2^2}} \left[\left(a_p e^{i\mathbf{p}\cdot\mathbf{x}} - a_p^{\dagger} e^{-i\mathbf{p}\cdot\mathbf{x}} \right), \left(a_q e^{i\mathbf{q}\cdot\mathbf{y}} - a_q^{\dagger} e^{-i\mathbf{q}\cdot\mathbf{y}} \right) \right]$$

using the commutation relations this is

$$\begin{split} [\pi(\mathbf{x}), \pi(\mathbf{y})] &= -\int \frac{\mathrm{d}^3 p}{(2\pi)^3} \int \frac{\mathrm{d}^3 q}{(2\pi)^3} \sqrt{\frac{\omega_p \omega_q}{2^2}} \left(e^{i\mathbf{p}\cdot\mathbf{x}} e^{-i\mathbf{q}\cdot\mathbf{y}} [a_p, a_q^{\dagger}] + e^{-i\mathbf{p}\cdot\mathbf{x}} e^{i\mathbf{q}\cdot\mathbf{y}} [a_p^{\dagger}, a_q] \right) \\ &= -\int \frac{\mathrm{d}^3 p}{(2\pi)^3} \int \frac{\mathrm{d}^3 q}{(2\pi)^3} \sqrt{\frac{\omega_p \omega_q}{2^2}} \left(e^{i\mathbf{p}\cdot\mathbf{x}} e^{-i\mathbf{q}\cdot\mathbf{y}} + e^{-i\mathbf{p}\cdot\mathbf{x}} e^{i\mathbf{q}\cdot\mathbf{y}} \right) (2\pi)^3 \delta^{(3)}(\mathbf{p} - \mathbf{q}) \\ &= -\int \frac{\mathrm{d}^3 p}{(2\pi)^3} \frac{\omega_p}{2} \left(e^{i\mathbf{p}\cdot\mathbf{x}} e^{-i\mathbf{q}\cdot\mathbf{y}} + e^{-i\mathbf{p}\cdot\mathbf{x}} e^{i\mathbf{q}\cdot\mathbf{y}} \right) \ . \end{split}$$

Doing the same transformation on the second term as the previous commutator, we see that this commutator also vanishes:

$$[\pi(\mathbf{x}), \pi(\mathbf{y})] = 0 . \tag{104}$$

The final commutator is

$$\begin{split} \left[\phi(\mathbf{x}), \pi(\mathbf{y})\right] &= -i \int \frac{\mathrm{d}^3 p}{(2\pi)^3} \int \frac{\mathrm{d}^3 q}{(2\pi)^3} \sqrt{\frac{\omega_q}{2^2 \omega_p}} \left[\left(a_p e^{i\mathbf{p}\cdot\mathbf{x}} + a_p^{\dagger} e^{-i\mathbf{p}\cdot\mathbf{x}} \right), \left(a_q e^{i\mathbf{q}\cdot\mathbf{y}} - a_q^{\dagger} e^{-i\mathbf{q}\cdot\mathbf{y}} \right) \right] \\ &= -i \int \frac{\mathrm{d}^3 p}{(2\pi)^3} \int \frac{\mathrm{d}^3 q}{(2\pi)^3} \sqrt{\frac{\omega_q}{2^2 \omega_p}} \left(e^{-i\mathbf{p}\cdot\mathbf{x}} e^{i\mathbf{q}\cdot\mathbf{y}} [a_p^{\dagger}, a_q] - e^{i\mathbf{p}\cdot\mathbf{x}} e^{-i\mathbf{q}\cdot\mathbf{y}} [a_p, a_q^{\dagger}] \right) \\ &= \frac{i}{2} \int \frac{\mathrm{d}^3 p}{(2\pi)^3} \int \frac{\mathrm{d}^3 q}{(2\pi)^3} \sqrt{\frac{\omega_q}{\omega_p}} \left(e^{i\mathbf{p}\cdot\mathbf{x}} e^{-i\mathbf{q}\cdot\mathbf{y}} [a_p, a_q^{\dagger}] - e^{-i\mathbf{p}\cdot\mathbf{x}} e^{i\mathbf{q}\cdot\mathbf{y}} [a_p^{\dagger}, a_q] \right) \\ &= \frac{i}{2} \int \frac{\mathrm{d}^3 p}{(2\pi)^3} \int \frac{\mathrm{d}^3 q}{(2\pi)^3} \sqrt{\frac{\omega_q}{\omega_p}} \left(e^{i\mathbf{p}\cdot\mathbf{x}} e^{-i\mathbf{q}\cdot\mathbf{y}} [a_p, a_q^{\dagger}] - e^{-i\mathbf{p}\cdot\mathbf{x}} e^{i\mathbf{q}\cdot\mathbf{y}} [a_p^{\dagger}, a_q] \right) \\ &= \frac{i}{2} \int \frac{\mathrm{d}^3 p}{(2\pi)^3} \int \frac{\mathrm{d}^3 q}{(2\pi)^3} \sqrt{\frac{\omega_q}{\omega_p}} \left(e^{i\mathbf{p}\cdot\mathbf{x}} e^{-i\mathbf{q}\cdot\mathbf{y}} + e^{-i\mathbf{p}\cdot\mathbf{x}} e^{i\mathbf{q}\cdot\mathbf{y}} \right) (2\pi)^3 \delta^{(3)}(\mathbf{p} - \mathbf{q}) \\ &= \frac{i}{2} \int \frac{\mathrm{d}^3 p}{(2\pi)^3} \left(e^{i\mathbf{p}\cdot(\mathbf{x}-\mathbf{y})} + e^{-i\mathbf{p}\cdot(\mathbf{x}-\mathbf{y})} \right) \\ &= \frac{i}{2} \left(2\delta^{(3)}(\mathbf{x} - \mathbf{y}) \right) \,, \end{split}$$

which yields the result

$$[\phi(\mathbf{x}), \pi(\mathbf{y})] = i\delta^{(3)}(\mathbf{x} - \mathbf{y}) .$$
(105)

C) In canonical quantization the Hamiltonian of a free spinless boson can be written as

$$H = \int d^3x \frac{1}{2} [(\partial_t \phi)^2 + (\nabla \phi)^2 + (m\phi)^2] .$$
 (106)

Verify explicitly that

$$H = \int \mathrm{d}^3 x \omega_k \left(a_k a_k^{\dagger} + \frac{1}{2} \delta^{(3)}(0) \right) \ . \tag{107}$$

The Hamiltonian can be written:

$$H = \int \mathrm{d}^3 x \frac{1}{2} [(\pi)^2 + (|\mathbf{k}|\phi)^2 + (m\phi)^2] = \int \mathrm{d}^3 x \frac{1}{2} [(\pi)^2 + (|\mathbf{k}|^2 + m^2)\phi^2]$$
(108)

$$= \int \mathrm{d}^3 x \frac{1}{2} [(\pi)^2 + \omega_k^2 \phi^2] , \qquad (109)$$

where π is the momentum of the field: $\partial_t \phi$. If we note that this is similar in form to the harmonic oscillator Hamiltonian, we see

$$(\pi(\mathbf{x}) + i\omega_k\phi(\mathbf{x}))(\pi(\mathbf{x}) + i\omega_k\phi(\mathbf{x})) = \pi(\mathbf{x})^2 + \omega_k^2\phi(\mathbf{x}^2) + i\omega_k\phi(\mathbf{x})\pi(\mathbf{x}) - i\omega\pi(\mathbf{x})\phi(\mathbf{x}) \quad (110)$$
$$= \pi(\mathbf{x})^2 + \omega_k^2\phi(\mathbf{x}^2) + i\omega_k[\phi(\mathbf{x}), \pi(\mathbf{x})] \quad (111)$$

$$= \pi(\mathbf{x})^2 + \omega_k^2 \phi(\mathbf{x}^2) + i \omega_k [\phi(\mathbf{x}), \pi(\mathbf{x})]$$
(111)

$$= \pi(\mathbf{x})^2 + \omega_k^2 \phi(\mathbf{x}^2) + i\omega_k (i\delta^{(3)}(\mathbf{x} - \mathbf{x}))$$
(112)

$$=\pi(\mathbf{x})^2 + \omega_k^2 \phi(\mathbf{x}^2) - \omega_k \delta^{(3)}(0)$$
(113)

If we use the definitions of a_k and a_k^{\dagger} :

$$a_k = \pi(\mathbf{x}) + i\omega\phi(\mathbf{x}) \quad \text{and} \quad a_k^{\dagger} = \pi(\mathbf{x}) - i\omega\phi(\mathbf{x}) , \qquad (114)$$

then from above, we have

$$a_k a_k^{\dagger} = \pi(\mathbf{x})^2 + \omega_k^2 \phi(\mathbf{x}^2) - \omega_k \delta^{(3)}(0) , \qquad (115)$$

and so the Hamiltonian can be written

$$H = \int \mathrm{d}^3 x \omega_k \left(a_k a_k^{\dagger} + \frac{1}{2} \delta^{(3)}(0) \right) \,. \tag{116}$$

4 Divergences in QFT.

The infinite constant in the Hamiltionian in Problem 3 (c),

$$H_{CC} = \int d^3 k \omega_k \frac{1}{2} \delta^{(3)}(0), \qquad (117)$$

actually contains two types of infinities:

A) The infinity in $\delta^{(3)}(0)$ comes about because the space in which our QFT lives is infinite in volume. To see this explicitly, recall that $\delta^{(3)}(0)$ arises from the commutator

$$[a_k, a_{k'}^{\dagger}] = \delta^{(3)}(k - k') = \int d^3 x e^{-i(\mathbf{k} - \mathbf{k}') \cdot \mathbf{x}} .$$
(118)

Use the above equation to show that if we had placed the QFT in a box with sides of length L, then $[a_k, a_k^{\dagger}] = L^3$ which is the volume of the box. Now take the length $L \to \infty$ and show that

$$H_{CC} = \int \mathrm{d}^3 k \frac{1}{2} \omega_k V \;, \tag{119}$$

where V is the volume of the infinite space. An infinity associated with an infinite volume is called the *infrared divergence*.

If our field theory exists in a cubic box of side length L, then the commutator $[a_k, a_k^{\dagger}]$ is given by

$$[a_k, a_k^{\dagger}] = \int d^3 x e^{-i(0) \cdot \mathbf{x}} = \left(\int_0^L dx\right)^3 = L^3 = V , \qquad (120)$$

so the infinite constant becomes

$$H_{CC} = \int d^3 k \omega_k \frac{1}{2} \int d^3 x e^{-i(0) \cdot \mathbf{x}} = \int d^3 k \omega_k \frac{1}{2} V , \qquad (121)$$

which diverges to infinity as $L \to \infty$.

B) The infrared divergence comes about because we are computing the *total* energy of the system. We could instead compute the energy density $\mathcal{H}_{CC} \equiv H_{CC}/V$ to get around the infrared divergence. Show that there is still a divergence in \mathcal{H}_{CC} because we assume the QFT is valid up to arbitrarily high energy and therefore integrate over arbitrarily high momentum $|\mathbf{k}|$. Such a divergence is called the *ultraviolet divergence*.

We define the energy density to be

$$\mathcal{H}_{CC} \equiv \frac{H_{CC}}{V} = \frac{1}{2} \int \sqrt{|\mathbf{k}|^2 + m^2} \mathrm{d}^3 k \;. \tag{122}$$

We can perform the integral in spherical coordinates, immediately integrating out the angular components:

$$\mathcal{H}_{CC} = \frac{4\pi}{2} \int_0^\infty \sqrt{|\mathbf{k}|^2 + m^2} (|\mathbf{k}|^2 \mathrm{d}|\mathbf{k}|).$$
(123)

The integrand scales as $\sim |\mathbf{k}|^3$, which divergres as $|\mathbf{k}| \to \infty$.

C) Since no one knows how to write down a consistent QFT for gravity, it is reasonable to assume that our QFT is valid only up to the Planck energy $M_{\rm pl}$ when the effect of gravity becomes important. Therefore we should cut off the $|\mathbf{k}|$ integral at $M_{\rm pl}$. Calculate the zero-point energy density \mathcal{H}_{CC} in terms of $M_{\rm pl}$.

If we set our cut-off energy to be the Planck energy, the final integral from the part (B) becomes

$$\mathcal{H}_{CC} = 2\pi \int_0^{M_{\rm Pl}} \sqrt{|\mathbf{k}|^2 + m^2} (|\mathbf{k}|^2 \mathrm{d}|\mathbf{k}|).$$
(124)

Evaluating this integral in MATHEMATICA yields

$$\mathcal{H}_{CC} = \frac{\pi}{4} \left(M_{\rm Pl} \left(m^2 + 2M_{\rm Pl}^2 \right) \sqrt{m^2 + M_{\rm Pl}^2} + m^4 \log \left(\frac{m}{\sqrt{m^2 + M_{\rm Pl}^2} + M_{\rm Pl}} \right) \right) .$$
(125)

However, we can assume $m \ll M_{\rm PL}$ because it is the rest mass of our spinless boson, which must be negligible compared to the Planck mass $(M_{\rm Pl} \sim 10^{19} \text{ GeV})$. Doing this, the energy density becomes

$$\mathcal{H}_{CC} = \frac{\pi}{4} \left(2M_{\rm Pl}^4 + m^4 \log\left(\frac{m}{2M_{\rm Pl}}\right) \right) \ . \tag{126}$$

Furthermore, in this limit, we may also neglect the entire logarithmic term because if $m \ll M_{\rm PL}$, then

$$M_{\rm PL} \gg m \log(m/M_{\rm PL})$$
, (127)

so we get the result

$$\mathcal{H}_{CC} = \frac{\pi}{2} M_{\rm Pl}^4 \sim 3.5 \times 10^{112} \,\,{\rm eV}.$$
 (128)

D) One way to remove the zero-point energy is to add a so-called *cosmological constant* term to the Klein-Gordon Lagrangian

$$\mathcal{L} = \frac{1}{2} (\partial_{\mu} \phi \partial^{\mu} \phi - m^2 \phi^2) + \Lambda_{CC} .$$
(129)

Show that the total zero-point energy density now becomes

$$\mathcal{E}_{\text{total}} = \mathcal{H}_{CC} - \Lambda_{CC} \ . \tag{130}$$

The Hamiltonian density for this Lagrangian density is given by

$$\mathcal{H} = \pi(\mathbf{x})\partial_t \phi(\mathbf{x}) - \mathcal{L} = \pi(\mathbf{x})\partial_t \phi(\mathbf{x}) - \frac{1}{2}(\partial_\mu \phi \partial^\mu \phi - m^2 \phi^2) - \Lambda_{CC}$$
(131)

$$= \pi(\mathbf{x})\partial_t \phi(\mathbf{x}) - \frac{1}{2}(\partial_t \phi)^2 + \frac{1}{2}(\nabla \phi)^2 + \frac{1}{2}m^2 \phi^2 - \Lambda_{CC}$$
(132)

$$= \frac{1}{2}(\pi)^2 + \frac{1}{2}(\nabla\phi)^2 + \frac{1}{2}m^2\phi^2 - \Lambda_{CC} , \qquad (133)$$

but from Problem 3C, this can be written

$$\mathcal{H} = \omega_k a_k a_k^{\dagger} + \mathcal{H}_{CC} - \Lambda_{CC} , \qquad (134)$$

so the total zero-point energy is

$$\mathcal{E}_{\text{total}} = \mathcal{H}_{CC} - \Lambda_{CC} \ . \tag{135}$$

E) Over the last decade our colleagues in cosmology worked very hard and measured $\mathcal{E}_{\text{total}} \approx (10^{-3} \text{ eV})^4$ in our universe. Assuming that QFT is indeed only valid up to M_{pl} , what is the amount of cancellation needed between H_{CC} and Λ_{CC} in order to result in the observed value? One measure of the fine-tuning necessary is to estimate the order of magnitude of

$$\frac{\mathcal{H}_{CC} - \Lambda_{CC}}{\mathcal{H}_{CC} + \Lambda_{CC}} \,. \tag{136}$$

This is the famous cosmological constant problem!

For the total zero-point energy to be ~ 10^{-12} eV, the \mathcal{H}_{CC} must cancel with Λ_{CC} to 124 significant figures. Since $\mathcal{H}_{CC} \sim 10^{112}$ eV, the cosmological constant must cancel that, and the next 12 decimal places hence 124.

5 Conserved currents of infinitesimal Lorentz transforms.

A) In the class we showed that the conserved currents corresponding to spacetime translations $x^{\alpha} \rightarrow x^{\alpha} - a^{\alpha}$ are the energy-momentum tensor $T^{\mu\nu}$. Since we have been considering Lorentz-invariant quantum field theories, derive the conserved currents corresponding to infinitesimal Lorentz transformations $\Lambda^{\alpha}_{\ \beta} = \delta^{\alpha}_{\ \beta} + \omega^{\alpha}_{\ \beta}$.

(Hint: recall that in the case of translations, there are really four currents $T^{\mu\alpha} = (j^{\mu})^{\alpha}$, one for each a^{α} . In this case there are really six conserved currents $M^{\mu\alpha}_{\beta} = (j^{\mu})^{\alpha}_{\beta}$, one for each ω^{α}_{β} . You may wish to express $M^{\mu\alpha}_{\beta}$ in terms of $T^{\mu\alpha}$.)

A Noether current is defined by

$$J_{\mu} = \sum_{n} \frac{\partial \mathcal{L}}{\partial(\partial_{\mu}\phi_{n})} \frac{\delta\phi_{n}}{\delta\alpha} , \qquad (137)$$

where α is some scalar, vector, or tensor parameter. When the equations of motion are satisfied, this current is conserved: $\partial_{\mu}J_{\mu} = 0$. In order to find this current, we need the variation of the field as the parameter α varies. We know the field is invariant under infinitesimal Lorentz transformations. Given our definition of the infinitesimal Lorentz transform

$$\Lambda^{\alpha}_{\ \beta} = \delta^{\alpha}_{\ \beta} + \omega^{\alpha}_{\ \beta} \ , \tag{138}$$

we may find the inverse transform:

$$\left(\Lambda^{-1}\right)^{\mu}_{\ \nu} = \Lambda^{\nu}_{\ \mu} = \delta^{\nu}_{\ \mu} + \omega^{\nu}_{\ \mu} = \delta^{\mu}_{\ \nu} - \omega^{\mu}_{\ \nu} , \qquad (139)$$

where $\delta^{\alpha}_{\ \beta}$ is the identity, and $\omega^{\alpha}_{\ \beta}$ is a constant with respect to the coordinates, and is antisymmetric. Consider now how the scalar field operator transforms

$$\phi(x) \to \phi(x') = \phi(\Lambda^{-1}x) = \phi(\delta^{\mu}_{\ \nu}x^{\nu} - \omega^{\mu}_{\ \nu}x^{\nu}) = \phi(x^{\mu} - \omega^{\mu}_{\ \nu}x^{\nu}) .$$
(140)

Since ω is an infinitesimal quantity, we may Taylor expand keeping only up to first order:

$$\phi(x) \to \phi(x') = \phi(x) - \omega^{\beta}_{\ \alpha} x^{\alpha} \partial_{\beta} \phi(x) , \qquad (141)$$

after some dummy index manipulation. However, by analogy to the infinitesimal translation, we know there must be an additional term:

$$\phi(x) \to \phi(x') = \phi(x) - \omega^{\beta}_{\ \alpha} x^{\alpha} \partial_{\beta} \phi(x) + \omega^{\beta}_{\ \alpha} x_{\beta} \partial^{\alpha} \phi(x)$$
(142)

$$=\phi(x) + \omega^{\beta}_{\alpha} \left(x_{\beta} \partial^{\alpha} \phi(x) - x^{\alpha} \partial_{\beta} \phi(x) \right) .$$
(143)

Now we can identify, in the notation of Peskin (see equation 2.9), our infinitesimal parameter is $\alpha = \omega_{\alpha}^{\beta}$ and $\Delta \phi = x_{\beta} \partial^{\alpha} \phi(x) - x^{\alpha} \partial_{\beta} \phi(x)$. Knowing how a scalar transforms, we can say that the Lagrangian density transforms as

$$\mathcal{L} \to \mathcal{L}' = \mathcal{L} + \omega^{\beta}_{\ \alpha} \left(x_{\beta} \partial^{\alpha} \mathcal{L} - x^{\alpha} \partial_{\beta} \mathcal{L} \right) \ . \tag{144}$$

Inserting the identity yields

$$\mathcal{L} \to \mathcal{L}' = \mathcal{L} + \omega^{\beta}_{\ \alpha} \left(x_{\beta} \partial_{\mu} \delta^{\mu \alpha} \mathcal{L} - x^{\alpha} \partial_{\mu} \delta^{\mu}_{\ \beta} \mathcal{L} \right)$$
(145)

$$= \mathcal{L} + \omega^{\beta}_{\ \alpha} \partial_{\mu} \left(x_{\beta} \delta^{\mu\alpha} \mathcal{L} - x^{\alpha} \delta^{\mu}_{\ \beta} \mathcal{L} \right) , \qquad (146)$$

and now we can identify

$$\left(J^{\mu}\right)^{\alpha}_{\ \beta} = \mathcal{L}\left(x_{\beta}\delta^{\mu\alpha} - x^{\alpha}\delta^{\mu}_{\ \beta}\right) \ . \tag{147}$$

Peskin equation 2.12 tells us

$$(j^{\mu})^{\alpha}{}_{\beta} = M^{\mu\alpha}_{\beta} = \frac{\partial \mathcal{L}}{\partial(\partial_{\mu}\phi)} \left[x_{\beta}\partial^{\alpha}\phi(x) - x^{\alpha}\partial_{\beta}\phi(x) \right] - \mathcal{L} \left(x_{\beta}\delta^{\mu\alpha} - x^{\alpha}\delta^{\mu}{}_{\beta} \right) , \qquad (148)$$

where $\partial_{\mu}(j^{\mu})^{\alpha}_{\ \beta} = 0$ and is therefore conserved. Here we note the form of the stress-energy tensor:

$$T^{\mu}_{\ \nu} = \frac{\partial \mathcal{L}}{\partial(\partial_{\mu}\phi)} \partial_{\nu}\phi - \mathcal{L}\delta^{\mu}_{\ \nu} , \qquad (149)$$

and then we can write the previous equation as

$$M^{\mu\alpha}_{\beta} = x_{\beta} \frac{\partial \mathcal{L}}{\partial(\partial_{\mu}\phi)} \partial^{\alpha}\phi(x) - x^{\alpha} \frac{\partial \mathcal{L}}{\partial(\partial_{\mu}\phi)} \partial_{\beta}\phi(x) - x_{\beta}\mathcal{L}\delta^{\mu\alpha} + x^{\alpha}\mathcal{L}\delta^{\mu}_{\ \beta}$$
(150)

$$= x_{\beta}T^{\mu\alpha} - x^{\alpha}T^{\mu}_{\ \beta} \ . \tag{151}$$

B) What is the physical interpretation for each of the conserved charges in (a)? Separate your discussions into those corresponding to rotations and those corresponding to Lorentz boosts.

A conserved charge is of the form

$$Q^{\alpha}_{\beta} = \int_{\text{all space}} j^{0\alpha}_{\ \beta} \mathrm{d}^3 x = \int \mathrm{d}^3 x \left(x_{\beta} T^{0\alpha} - x^{\alpha} T^0_{\ \beta} \right)$$
(152)

Now we consider just the components

$$Q_{j}^{i} = \int_{\text{all space}} j^{0i}{}_{j} \mathrm{d}^{3}x = \int \mathrm{d}^{3}x \left(x_{j} T^{0i} - x^{i} T^{0}{}_{j} \right) , \qquad (153)$$

and we can identify the term $x_j T^{0i} - x^i T^0_{\ j}$ as angular momentum about the k axis. Therefore, Lorentz rotations conserve angular momentum. Now consider the component

$$Q_0^0 = \int_{\text{all space}} j_0^{00} d^3 x = \int d^3 x \left(x_0 T^{00} - x^0 T_0^0 \right) , \qquad (154)$$

the component T^{00} is the total energy density, and this says that energy is conserved. Similarly,

$$Q_i^0 = \int_{\text{all space}} j_i^{00} d^3 x = \int d^3 x \left(x_0 T^{0i} - x^0 T_i^0 \right) , \qquad (155)$$

which are Lorentz boosts, give the center-of-mass theorem.